On the Set of Indices of Convergence for Reducible Tournament Matrices

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Abstract

We obtain that the set of indices of convergence for n by n reducible tournament matrices. **Key words**

Boolean matrix; Reducible tournament matrix; Primitive exponent; Convergent index

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1. INTRODUCTION

A Boolean matrix is a matrix over the binary Boolean algebra $\{0, 1\}$, where the (Boolean)addition and (Boolean) multiplication in $\{0, 1\}$ are defined as $a + b = max\{a, b\}$, $ab = min\{a, b\}$ (we assume 0 < 1).

Let \mathfrak{B}_n denote the set of all *n* by *n* matrices over the Boolean algebra $\{0, 1\}$. Then \mathfrak{B}_n forms a finite multiplicative semigroup of order 2^{n^2} . Let $B \in \mathfrak{B}_n$. The sequence of powers $B^0 = I, B^1, B^2, \cdots$, clearly forms a finite sub-semigroup of \mathfrak{B}_n , and then there exists a least nonnegative integer k = k(B) such that $B^k = B^{k+t}$ for some $t \ge 1$, and there exists a least positive integer p = p(B) such that $B^k = B^{k+p}$. The integer k = k(B) and p = p(B) are called the index of convergence of *B* and the period of convergence of *B* respectively or simply the "index" and "period" of *B*.

For $B \in \mathfrak{B}_n$, if there is a permutation matrix P such that $PBP^T = A$, then we say B is permutation similar to a matrix A (written $B \sim A$).

A matrix $B \in \mathfrak{B}_n$ is reducible if $B \sim \begin{pmatrix} B_1 & 0 \\ C & B_2 \end{pmatrix}$, where B_1 and B_2 are square(non-vacuous), and B is reducible if it is not reducible.

irreducible if it is not reducible.

A Boolean matrix $B \in \mathfrak{B}_n$ is primitive if there is a nonnegative integer k such that $B^k = J$, the allones matrix. The least such k is called the exponent of B, denoted by $\gamma(B)$. It is easy to verify that if B is a primitive matrix, then $k(B) = \gamma(B)$. Hence, the concept of index of a Boolean matrix is in fact a generalization of the concept of the primitive exponent of a primitive matrix.

It is well known that B is primitive if and only if B is irreducible and p(B) = 1.

A matrix $A = [a_{ij}] \in \mathfrak{B}_n$ is called tournament matrix if $a_{ii} = 0(i = 1, 2, ..., n)$ and $a_{ij} + a_{ji} = 1(1 \le i \le j \le n)$. Let \mathfrak{T}_n denote the set of all $n \times n$ tournament matrices. Notice that a matrix $T_n \in \mathfrak{T}_n$ satisfies the

equation

$$A_n + A_n^T = J_n - I_n$$

where J_n is the matrix of all 1's and I_n is the identity matrix.

Our main interests are in the study of the index k(B). In particular, we are interested in the study of the index set (set of the indices) for various classes of n by n Boolean matrices. The index (or exponent)set problem of primitive Boolean matrices is already settled in [1]. In this paper we give the index set of reducible tournament matrices.

2. PRELIMINARIES

The notation and terminology used in this paper will basically follow those in [1]. For convenience of the reader, we will include here the necessary definitions and basic results in [3,5,6].

We use the following notations.

$$\bar{T}_{n} = \begin{pmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 \\ 1 & 0 & 0 & 1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots \\ 1 & \cdots & 1 & 0 & 0 & 1 \\ 1 & \cdots & \cdots & 1 & 0 & 0 \end{pmatrix}_{n \times n} (n \ge 3), \quad \mathbb{T}_{l} = \begin{pmatrix} 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 1 & \cdots & 1 & 0 \end{pmatrix}_{l \times l},$$
$$\mathcal{T}_{3m} = \begin{pmatrix} \bar{T}_{3} & 0 & \cdots & 0 \\ J & \bar{T}_{3} & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ J & \cdots & J & \bar{T}_{3} \end{pmatrix}, \quad I_{3m} = \begin{pmatrix} I_{3} & 0 & \cdots & 0 \\ J & I_{3} & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ J & \cdots & J & I_{3} \end{pmatrix},$$

where *J* is the matrix of all 1's, I_3 is the identity matrix of order 3. **Lemma 2.1 ([2]):** Let $T_n \in \mathfrak{T}_n$. Then

	(A_1)	0	0	•••	0)
	J	A_2	0	•••	0	
$T_n \sim$	J	J	A_3	•••	0	,
	:	÷	÷	·.	÷	
	\int	J	J	•••	A_k	J

where all the blocks J below the diagonal are matrices of 1's, and the diagonal blocks A_1, \dots, A_k are irreducible components of T_n . Let A_i be n_i by n_i matrix, $1 \le i \le k, 1 \le n_i \le n$. Then k and n_i are uniquely determined by T_n .

It is obvious that the irreducible tournament matrix of order 1 is zero matrix of order 1, the irreducible tournament matrix of order 2 is not exists, and the irreducible tournament matrix of order 3 is isomorphic to \overline{T}_3 . Hence, in Lemma2.1, the diagonal blocks A_i is zero matrix of order 1, or \overline{T}_3 , or irreducible tournament matrix of order $m_i(4 \le m_i \le n)$. Let $A_i \ne (0)_{1\times 1}$ (if there exists), $A_{i+1} = A_{i+2} = \ldots = A_{i+l_i} = (0)_{1\times 1}, A_{i+l_i+1} \ne (0)_{1\times 1}$ (if there exists). Then

$$\begin{pmatrix} A_{i+1} & 0 & \cdots & 0 \\ J & A_{i+2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ J & J & \cdots & A_{i+l_i} \end{pmatrix} = \mathbb{T}_{l_i} = \begin{pmatrix} 0 & 0 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 1 & \cdots & 1 & 0 \end{pmatrix}_{l_i \times l_i}$$

Let $A_j \neq \overline{T}_3$ (if there exists), $A_{j+1} = A_{j+2} = \ldots = A_{j+q_i} = \overline{T}_3, A_{j+q_i+1} \neq \overline{T}_3$ (if there exists). Then

$$\begin{pmatrix} A_{j+1} & 0 & \cdots & 0 \\ J & A_{j+2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ J & J & \cdots & A_{j+q_i} \end{pmatrix} = \mathcal{T}_{3q_i} = \begin{pmatrix} \bar{T}_3 & 0 & \cdots & 0 \\ J & \bar{T}_3 & \cdots & 0 \\ \vdots & \ddots & \ddots \\ J & \cdots & J & \bar{T}_3 \end{pmatrix}_{3q_i \times 3q_i}$$

We have

Lemma 2.2: Let $T_n \in \mathfrak{T}_n$. Then

$$T_n \sim \begin{pmatrix} B_1 & 0 & 0 & \cdots & 0 \\ J & B_2 & 0 & \cdots & 0 \\ J & J & B_3 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ J & J & J & \cdots & B_g \end{pmatrix}$$

where all the blocks J below the diagonal are matrices of 1's, and the diagonal blocks B_i is \mathcal{T}_{3q_i} , or \mathbb{T}_{l_i} , or irreducible $T_{m_i} \in \mathfrak{T}_{m_i}$, $4 \le m_i \le n$, $1 \le i \le g$, $0 \le 3q_i$, $l_i \le n$, and q_i , l_i , m_i , g are uniquely determined by T_n .

Clearly, $p(\mathbb{T}_{l_i}) = p(T_{m_i}) = 1$, $p(\mathcal{T}_{3q_i}) = 3$ in Lemma2.2. Hence we have

Lemma 2.3: Let $T_n \in \mathfrak{T}_n$. Then $p(T_n) = 1$ or 3.

Lemma 2.4 ([3]): If $T_n \in \mathfrak{T}_n$ and $n \ge 4$. Then T_n is primitive if and only if T_n is irreducible.

It is obvious that 3×3 tournament matrix is not primitive, the primitive exponent of 4×4 irreducible tournament matrix is 9. For n > 4, we have

Lemma 2.5 ([3]): If $T_n \in \mathfrak{T}_n$ and $n \ge 5$, then $\gamma(T_n) \le n + 2$.

Lemma 2.6 ([5]): Let $n \ge 5$, then $\gamma(\bar{T}_n) = n + 2$.

Lemma 2.7([5]): If $n \ge 5$, $T_n \in \mathfrak{T}_n$ is irreducible. Then $\gamma(T_n) = n + 2$ if and only if T_n is isomorphic to \overline{T}_n .

Lemma 2.8 ([3]): If $3 \le e \le n+2$ and $n \ge 6$, then there exists an irreducible $T_n \in \mathfrak{T}_n$ such that $\gamma(T_n) = e$. For *n* by *n* tournament matrices, the set of primitive exponents is $\{3, 4, \dots, n+2\}$ ($n \ge 6$) in [3]. We have that the set of indices of convergence for $n \times n$ reducible tournament matrices with period *p*.

3. THE SET OF INDICES OF CONVERGENCE FOR REDUCIBLE TOURNAMENT MATRICES

We use the following notations.

 $\mathfrak{T}\mathfrak{I}_n$: irreducible matrices in \mathfrak{T}_n ,

 \mathfrak{TR}_n : reducible matrices in \mathfrak{T}_n ,

 $\mathfrak{TS}(n, p)$: matrices with period p in \mathfrak{TS}_n ,

 $\mathfrak{TR}(n, p)$: matrices with period p in \mathfrak{TR}_n ,

ITI(*n*, *p*): indices of convergence of matrices in $\mathfrak{TS}(n, p)$,

ITR(n, p): indices of convergence of matrices in $\mathfrak{TR}(n, p)$.

ITR(*n*): indices of convergence of matrices in \mathfrak{TR}_n .

Theorem 3.1: Let $T_n \in \mathfrak{TR}(n, p)$ and $n \ge 10$. Then $k(T_n) \le n - p + 2$.

Proof: Let $T_n \in \mathfrak{TR}(n, p)$. It is obvious that $k(T_n) = \max_{1 \le i \le g} \{k(B_i)\}$

 $=\max_{1 \le i \le g} \{l_i, k(B_{mi})\} = \max_{1 \le i \le g} \{l_i, m_i + 2\}$ in Lemma 2.2, where $n \ge 10$ and $4 \le m_i < n$. By Lemma 2.3, $p(T_n) = 1$ or 3.

If $p(T_n) = 1$. There does not exist B_i that is \mathcal{T}_{3q_i} , $1 \le i \le g$, $1 \le 3q_i$, in Lemma 2.2. Hence $k(T_n) = \max_{1 \le i \le g} \{l_i, m_i + 2\} \le n - 1 + 2 = n - p + 2$.

If $p(T_n) = 3$. There exists B_i that is \mathcal{T}_{3q_i} , $1 \le i \le g, 1 \le 3q_i$, in Lemma 2.2. Hence $k(T_n) = \max_{1 \le i \le g} \{l_i, m_i + 2\} \le n - 3 + 2 = n - p + 2$.

Let $T_n^{(1)} = \begin{pmatrix} 0 & 0 \\ J & \bar{T}_{n-1} \end{pmatrix}$ and $T_n^{(2)} = \begin{pmatrix} \bar{T}_3 & 0 \\ J & \bar{T}_{n-3} \end{pmatrix}$. Then $T_n^{(1)} \in \mathfrak{IR}(n, 1)$ and $T_n^{(2)} \in \mathfrak{IR}(n, 3)$. By Lemma2.6, $k(T_n^{(1)}) = k(\bar{T}_{n-1}) = n - 1 + 2 = n + 1$ and $k(T_n^{(2)}) = k(\bar{T}_{n-3}) = n - 3 + 2 = n - 1$. where $n \ge 10$. We complete the proof.

Theorem 3.2: Let $n \ge 2$. Then

$$ITR(n,1) = \begin{cases} \{n\} & n = 2, 3, 4, \\ \{5,9\} & n = 5, \\ \{4,6,7,9\} & n = 6, \\ [3,9] & n = 7, \\ [3,n+1] & n \ge 8. \end{cases}$$

where $[3, n + 1] = \{3, 4, \dots, n + 1\}.$

Proof: Note that $\mathbb{T}_l \in \mathfrak{TR}(n, 1)$ and $k(\mathbb{T}_l) = l(l \ge 1)$, hence $n(\ge 2) \in ITR(n, 1)$. It is easily verified that $ITI(5, 1) = \{4, 6, 7\}$. Now let $\tilde{T}_5 = \begin{pmatrix} 0 & 0 \\ J & T_4 \end{pmatrix}$, where $T_4 \in \mathfrak{TS}(4, 1)$, then $\tilde{T}_5 \in \mathfrak{TR}(5, 1)$ and $k(\tilde{T}_5) = k(T_4) = 9$.

Let $\tilde{T}_6 = \begin{pmatrix} 0 & 0 \\ J & T_5 \end{pmatrix}$, where $T_5 \in \mathfrak{IS}(5, 1)$, then $\tilde{T}_6 \in \mathfrak{IR}(6, 1)$ and $k(\tilde{T}_6) = k(T_5)$. Let $\hat{T}_6 = \begin{pmatrix} \mathbb{T}_2 & 0 \\ J & T_4 \end{pmatrix}$, where $T_4 \in \mathfrak{IS}(4, 1)$, then $\hat{T}_6 \in \mathfrak{IR}(6, 1)$ and $k(\hat{T}_6) = k(T_4)$. Hence $ITR(6, 1) = \{4, 6, 7, 9\}$. By Lemma 2.8, it is obvious that ITR(7, 1) = [3, 9].

For $n \ge 8$, let $\tilde{T}_n = \begin{pmatrix} 0 & 0 \\ J & \bar{T}_{n-1} \end{pmatrix}$, where $\bar{T}_{n-1} \in \mathfrak{TS}(n-1,1)$, then $\tilde{T}_n \in \mathfrak{TR}(n,1)$ and $k(\tilde{T}_n) = k(\bar{T}_{n-1})$. By Lemma 2.8, ITR(n,1) = [3, n+1]. We complete the proof. **Theorem 3.3:** Let $n \ge 4$. Then

$$TR(n,3) = \begin{cases} \{1\} & n = 4, \\ \{1,2\} & n = 5, \\ \{0,2,3\} & n = 6, \\ \{1,2,4,9\} & n = 7, \\ \{2,3,4,5,6,7,9\} & n = 8, \\ [0,9] & n = 9, \\ [0,n-1] & n > 10 \text{ and } 3 \mid n \\ [1,n-1] & n \ge 10 \text{ and } 3 \nmid n \end{cases}$$

where $[0, n-1] = \{0, 1, 2, 3, \dots, n-1\}$. **Proof:** Note that $k(\mathcal{T}_{3q_i}) = 0(3q_i > 0)$, hence $0 \in ITR(n, 3)$, where $n \ge 3$ and $3 \mid n$. Let $\tilde{T}_4 = \begin{pmatrix} 0 & 0 \\ J & \bar{T}_3 \end{pmatrix}$, then $k(\tilde{T}_4) = 1 \in ITR(4, 3)$. Let $\tilde{T}_5 = \begin{pmatrix} \mathbb{T}_2 & 0 \\ J & \bar{T}_3 \end{pmatrix}$, then $k(\tilde{T}_5) = 2 \in ITR(5, 3)$ and let $\hat{T}_5 = \begin{pmatrix} 0 & 0 & 0 \\ J & \bar{T}_3 & 0 \\ J & J & 0 \end{pmatrix}$, then $k(\hat{T}_5) = 1 \in ITR(5, 3)$.

Let
$$\tilde{T}_6 = \begin{pmatrix} \mathbb{T}_3 & 0 \\ J & \bar{T}_3 \end{pmatrix}$$
, then $k(\tilde{T}_6) = 3 \in ITR(6,3)$ and let $\hat{T}_6 = \begin{pmatrix} \mathbb{T}_2 & 0 & 0 \\ J & \bar{T}_3 & 0 \\ J & J & 0 \end{pmatrix}$, then $k(\hat{T}_6) = 2 \in R(6,2)$. It is seen to see that $ITR(7,2) = (1,2,4,0)$. $ITR(8,2) = (2,2,4,5,6,7,0)$ and $ITR(0,2) = (0,0)$.

ITR(6,3). It is easy to see that $ITR(7,3) = \{1,2,4,9\}, ITR(8,3) = \{2,3,4,5,6,7,9\}$ and ITR(9,3) = [0,9].

Suppose $n \ge 10$. Let $\tilde{T}_n = \begin{pmatrix} \mathbb{T}_3 & 0 \\ J & T_{n-3} \end{pmatrix}$, where $T_{n-3} \in \mathfrak{IS}(n-3,1)$, by Lemma 2.8, $\tilde{T}_n \in \mathfrak{IR}(n,3)$ and $k(\tilde{T}_n) = k(\tilde{T}_{n-3}) \in [3, n-1]$.

If n = 3m. Let

$$\tilde{T}_{n} = \begin{pmatrix} \mathbb{T}_{1} & 0 & 0 & 0 & 0 & 0 \\ J & \bar{T}_{3} & 0 & 0 & 0 & 0 \\ J & J & \mathbb{T}_{1} & 0 & 0 & 0 \\ J & J & J & \bar{T}_{3} & 0 & 0 \\ J & J & J & J & \mathcal{T}_{1} & 0 \\ J & J & J & J & \mathcal{T}_{3(m-3)} \end{pmatrix}$$

,

and

$$\hat{T}_n = \begin{pmatrix} \mathbb{T}_1 & 0 & 0 & 0 \\ J & \bar{T}_3 & 0 & 0 \\ J & J & \mathbb{T}_2 & 0 \\ J & J & J & \mathcal{T}_{3(m-2)} \end{pmatrix},$$

then $k(\tilde{T}_n) = 1$, and $k(\hat{T}_n) = 2$.

If
$$n = 3m + 1$$
. Let $\tilde{T}_n = \begin{pmatrix} \mathbb{T}_1 & 0 \\ J & \mathcal{T}_{3m} \end{pmatrix}$, and

$$\hat{T}_n = \begin{pmatrix} \mathbb{T}_2 & 0 & 0 & 0 \\ J & \bar{T}_3 & 0 & 0 \\ J & J & \mathbb{T}_2 & 0 \\ J & J & J & \mathcal{T}_{3(m-2)} \end{pmatrix},$$

then $k(\tilde{T}_n) = 1$, and $k(\hat{T}_n) = 2$.

If
$$n = 3m + 2$$
. Let $\tilde{T}_n = \begin{pmatrix} \mathbb{T}_1 & 0 & 0 & 0 \\ J & \bar{T}_3 & 0 & 0 \\ J & J & \mathbb{T}_1 & 0 \\ J & J & J & \mathcal{T}_{3(m-1)} \end{pmatrix}$, and $\hat{T}_n = \begin{pmatrix} \mathbb{T}_2 & 0 \\ J & \mathcal{T}_{3m} \end{pmatrix}$, then $k(\tilde{T}_n) = 1$, and

 $k(\hat{T}_n) = 2$. Hence If $n \ge 10$, then

$$ITR(n,3) = \begin{cases} [0,n-1] & n > 10 \text{ and } 3 \mid n, \\ [1,n-1] & n \ge 10 \text{ and } 3 \nmid n. \end{cases}$$

We complete the proof.

By Theorem 3.2 and Theorem 3.3, we have **Corollary 3.4** Let $n \ge 8$. Then

$$ITR(n) = \begin{cases} [0, n+1] & n > 10 \text{ and } 3 \mid n, \\ [1, n+1] & n \ge 10 \text{ and } 3 \nmid n. \end{cases}$$

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